

## Sub-part 6101 – Public Transit

### Chapter 53008 General FTA Compliance Requirements

#### Purpose

- 100 Provide general guidance on compliance standards for subrecipient contractors.
- 101 Describes and provides references to the general project compliance provisions of the most frequently cited laws and administrative requirements that apply in common to all federal grant program, including all contracts funded by the MDOT with Federal Transit Administration assistance.
- 102 Several laws, regulations and administrative requirements apply in common to all federal grant programs and, therefore, are applicable to the FTA transportation programs administered by the MDOT. Information about compliance with these laws, regulations and administrative requirements is passed down to subrecipient contractors through Chapter X of the most current version of the MDOT's State Management Plan for Federal Transit Administration Funded Transportation Programs. (Available through the Public Transit Division) Compliance requirements are passed down to contractors through the standard contract agreements and monitored during annual application and project monitoring. Compliance features for some of these requirements are periodically further defined by the Federal Transit Administration's regulatory and program guidance updates, such as the most current version of:
- FTA Circular 9040.1E, “ Nonurbanized Area Formula Program Guidance”;
  - FTA Circular 9070.1E, “ Elderly and Persons with Disabilities Transportation Program Guidance”;
  - FTA Circular 5010.1D, “ Grants Management Guidelines”
- (Accessed at [www.fta.dot.gov](http://www.fta.dot.gov) and [www.dot.gov](http://www.dot.gov).)
- 103 Additionally, there are several unique provisions applicable to all FTA grant assistance programs that are routinely compiled and published as the Annual List of Certifications and Assurances for Federal Transit Administration Grants and Cooperative Agreements. (Accessed at [www.fta.dot.gov](http://www.fta.dot.gov) and [www.gpoaccess.gov](http://www.gpoaccess.gov) .)
- 104 It is the policy of the MDOT to ensure agency and subrecipient compliance, to the greatest extent possible, with each of the various provisions by requiring execution and submission of updated certifications and assurances; through Standard Operating Procedures (SOP's) and administrative rules as well as policies and procedures that are based on guidance provided by or through federal agencies including but not necessarily limited to:
- Federal Transit Administration,
  - U.S. Department of Transportation; and
  - U.S. Department of Labor

105 In assuring compliance with these provisions, the Public Transit Division and affected subrecipient contractors may consult with other MDOT organizational units such as: Office of the General Counsel; Procurement; Human Resources; Environmental; and Office of Civil Rights.